

CBC Subcommittee 3

Action Plan 2013-2016

INTOSAI Strategic Plan 2011-2016:

- a. Continue to assess and document existing peer review arrangements in the INTOSAI community.
- b. Continue to foster an environment where such voluntary reviews are seen as beneficial to both the SAI undertaking the review and the SAI choosing to undergo it and establish global and regional mechanisms for initiating peer reviews.
- c. Where necessary, update the CBC guidelines on peer reviews and the developed checklist on the basis of the experience of SAIs and provide further good practices on how to undertake voluntary peer reviews.
- d. Disseminate the results of peer reviews, as appropriate and as agreed to by participating SAIs.

Strategies a. and d.: Continue to assess and document existing peer review arrangements in the INTOSAI community and disseminate the results of peer reviews, as appropriate and as agreed to by participating SAIs			
No.	Tasks and estimated results	responsible	date
1.	Send out annual e-mail survey to all INTOSAI members	Chair	November 2013
2.	Assess results of annual e-mail survey	Chair	March 2014
3.	Update the peer review documentation on CBC website	Chair, Morocco	continuous

Strategy b.: Continue to foster an environment where such voluntary reviews are seen as beneficial to both the SAI undertaking the review and the SAI choosing to undergo it and establish global and regional mechanisms for initiating peer reviews			
No.	Tasks and estimated results	responsible	date
1.	Regular update of CBC website	Chair, Morocco	continuous
2.	Prepare case studies/presentations to promote peer reviews as an instrument of quality assurance (for example when requested by Regional Working Groups/SAs as well as external entities)	SC members, SAs with Peer Review expertise, input by OECD, IDI and other relevant institutions	2013-2016
3.	Publish the presentation and all other materials promoting the peer reviews as an instrument of quality assurance on the CBC website.	Chair, Morocco	continuous

Strategy c.: Update the CBC guidelines on peer reviews and the check list on the basis of the experience of SAs and provide further good practices on how to undertake voluntary peer reviews.			
No.	Tasks and estimated results	responsible	date
1.	Ask those SAs that currently undergo a peer review and esp. the reviewing SAs for critical review of ISSAI 5600 (peer review guide and checklist)	Chair	Continuous
2.	Revise ISSAI 5600 (peer review guide and checklist) according to the Due Process for INTOSAI Professional Standards for adoption at XXII INCOSAI 2016	Task Team: Germany, Poland, Slovakia, Hungary	INCOSAI 2016
2.1	Define overall direction of ISSAI 5600	Task Team	SC 3 meeting 2013

2.2	Gather feedback on ISSAI 5600, analyse needs and expectations of SAIs	Task Team	SC 3 meeting 2013
2.3	Agree upon future structure and content of ISSAI 5600 (i.e. chapters of both guide and checklist)	Task Team	SC 3 meeting 2013
2.4	ISSAI 5600 main body (chapters) and questionnaire 1 st draft	Task Team	March 2014
2.5	SC 3 comments on the 1 st draft	Task Team, SC 3 members, partners (IDI, OECD), SAI of Switzerland on ITSA-ITASA project management	SC 3 meeting 2014
2.6	Incorporation of the 1 st draft comments, creation of the 2 nd draft	Task Team	October 2014
2.7	SC 3 members' comments to the 2 nd draft	Task Team, SC 3 members	March 2015
2.8	Incorporation of the 2 nd draft comments, creation of the 3 rd draft, agreement on the 3 rd draft	Task Team	June 2015 (SC 3 meeting)
2.9	Approval to the 3 rd draft (Exposure Draft) by the CBC Steering Committee	Task Team, CBC Steer. Comm.	September 2015
2.10	Exposure period	INTOSAI community	October 2015 – February 2016
2.11	Start of translations of the document in cooperation with the INTOSAI Secretariat	Task Team, chair	October 2015
2.12	Incorporate comments (4 th draft)	Task Team	March 2015 – May 2016
2.13	SC 3 members' agreement to the 4 th draft	Task Team, SC 3 members	June 2016 (SC 3 meeting)
2.14	Approval to the 4 th draft (Endorsement version)	Task Team, CBC Steer. Comm.	September 2016
2.15	INTOSAI Governing Board approval to the Endorsement version	SC 3, CBC, GB	October 2016
2.16	ISSAI 5600 approval by the INCOSAI 2016	INCOSAI 2016	October 2016

Other issues			
No.	Tasks and estimated results	responsible	date
1.	Report to the CBC Steering Committee	Chair	21 June 2013
2.	Report to the INTOSAI Governing Board meeting	Chair	21 October 2013
3.	Presentation of the CBC SC 3 at INCOSAI 2013 in the combined CBC-IDI-INTOSAI-Donor Cooperation booth	Chair	22 -27 October 2013